

IN THE
UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: Edward N. Ignatoff, Ziya A. Aral, Roni Putra and Nicholas C. Connolly

APPLICATION NO.: 10/035,790

FILING DATE: December 24, 2001

TITLE: SCSI Transport Protocol Via TCP/IP Using Existing Network Hardware and Software

EXAMINER: Ayaz R. Sheikh

GROUP ART UNIT: 2155

ATTY. DKT. NO.: 61628-05665

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner For Patents, P.O. Box 1450, Alexandria, VA 22313, on the date shown below:

Dated: 2/25/04

By: Dorian Cartwright

Dorian Cartwright

COMMISSIONER FOR PATENTS
P.O. BOX 1450
ALEXANDRIA, VA 22313

**STATEMENT OF ASSIGNEE CONSENTING TO CHANGE OF INVENTORSHIP
UNDER 37 C.F.R. §1.48(a)**

SIR:

The Assignee for the above-referenced patent, DataCore Software Corporation, declares that:

1. It is the assignee of the entire right, title and interest in the patent identified above by virtue of a first assignment from the originally named inventors of the patent, Edward N. Ignatoff, Ziya A. Aral, and Roni Putra; which was recorded in the Patent and Trademark Office at Reel 012805, Frame 0975, on April 10, 2002 and a second Assignment from Nicholas C. Connolly. Copies of the Assignments are attached hereto.

3. The Assignee for the above-referenced patent identified above agrees to the change of inventorship.

4. The undersigned (whose title is supplied below) is empowered to sign this certificate on behalf of the assignee.

Dated: February 20, 2004

Signed By: Carolyn J. Curran

Name (type or print): CAROLYN A. CURRAN

Title: Secretary

| | | | |
|--|--|--|------------------------|
| 0001/PTO Rev. 10/95 | | U.S. Department of Commerce Patent and Trademark Office | |
| TRANSMITTAL FORM <i>(to be used for all correspondence during pendency of filed application)</i> | | Application Number | 10/035,790 |
| | | Filing Date | December 24, 2001 |
| | | First Named Inventor | Edward N. Ignatoff |
| | | Group Art Unit Number | 2155 |
| | | Examiner Name | Frantz B. Jean |
| Total Number of Pages In This Submission | | 13 | Attorney Docket Number |
| | | | 61628-05665 |

| ENCLOSURES (check all that apply) | |
|---|--|
| <input checked="" type="checkbox"/> Fee Transmittal Form (in duplicate) <input checked="" type="checkbox"/> Check Enclosed <input checked="" type="checkbox"/> Return Receipt Postcard <input type="checkbox"/> Response to Notice to File Missing Parts <input type="checkbox"/> Assignment & Recordation Cover Sheet <input checked="" type="checkbox"/> Declaration/Power of Attorney <input type="checkbox"/> Power of Attorney <input type="checkbox"/> Application Data Sheet <input type="checkbox"/> Information Disclosure Statement & PTO/SB/08A <input type="checkbox"/> Copies of IDS Cited References <input type="checkbox"/> Request for Corrected Filing Receipt <input type="checkbox"/> Request for Correction of Recorded Assignment <input type="checkbox"/> Amendment/Response: [] Page(s) <input type="checkbox"/> After Final <input type="checkbox"/> Status Request <input type="checkbox"/> Revocation and Substitute Power of Attorney | <input type="checkbox"/> Issue Fee Transmittal <input type="checkbox"/> Letter to Chief Draftsperson <input type="checkbox"/> Formal Drawing(s): [] Sheet(s) of Figure(s) [] <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group <i>(Appeal Notice, Brief, Reply Brief)</i> <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> After Allowance Communication to Group <input checked="" type="checkbox"/> Second Request to Correct Inventorship in a Nonprovisional Patent Application under 37 C.F.R. §1.48(a) <input checked="" type="checkbox"/> Statement Of Assignee Consenting To Change Of Inventorship Under 37 C.F.R. §1.48(a) <input checked="" type="checkbox"/> Copy of Statement Of Nicholas C. Connolly In Support Of Request To Correct Inventorship <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> |
| REMARKS: | |

| SIGNATURE OF ATTORNEY OR AGENT | | | |
|--------------------------------|------------------------------------|--------|-------------------|
| Signature: | <i>Dorian Cartwright</i> | | |
| Attorney/Reg. No.: | Dorian Cartwright, Reg. No. 53,853 | Dated: | February 25, 2004 |

| CERTIFICATE OF MAILING | | | |
|---|--------------------------|--------|-------------------|
| I hereby certify that this correspondence, including the enclosures identified above, is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. If the Express Mail Mailing Number is filled in below, then this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service pursuant to 37 CFR 1.10. | | | |
| Signature: | <i>Dorian Cartwright</i> | | |
| Typed or Printed Name: | Dorian Cartwright | Dated: | February 25, 2004 |
| Express Mail Mailing Number (optional): | | | |

61628/05665/DOCS/1414873.1

FEE TRANSMITTAL for FY 2004

Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$130.00)

Complete if Known

| | |
|----------------------|--------------------|
| Application Number | 10/035,790 |
| Filing Date | December 24, 2001 |
| First Named Inventor | Edward N. Ignatoff |
| Examiner Name | Frantz B. Jean |
| Art Unit | 2155 |
| Attorney Docket No. | 61628-05665 |

METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit Card ☐ Money Order ☐ Other ☐ None
☐ Deposit Account:

Deposit Account Number

19-2555

Deposit Account Name

Fenwick & West LLP

The Commissioner is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☒ Credit any overpayments☒ Charge all required fee(s) or any underpayment of fee(s) due under 37 CFR §1.16 or §1.17 during the pendency of this application☐ Charge fee(s) indicated below, except for the filing fee

to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Small Entity

| Fee Code | Fee (\$) | Fee Code | Fee (\$) | Fee Description | Fee Paid |
|----------|----------|----------|----------|------------------------|----------|
| 1001 | 770 | 2001 | 385 | Utility filing fee | |
| 1002 | 340 | 2002 | 170 | Design filing fee | |
| 1003 | 530 | 2003 | 265 | Plant filing fee | |
| 1004 | 770 | 2004 | 385 | Reissue filing fee | |
| 1005 | 160 | 2005 | 80 | Provisional filing fee | |

SUBTOTAL (1) (\$0)

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

| Total Claims | Extra Claims | Fee from below | Fee Paid |
|--------------------|--------------|----------------|----------|
| Independent Claims | 20** | X | |
| Multiple Dependent | 3** | X | |

| Large Entity Fee Code | Large Entity Fee (\$) | Small Entity Fee Code | Small Entity Fee (\$) | Fee Description | Fee Paid |
|-----------------------|-----------------------|-----------------------|-----------------------|---|----------|
| 1202 | 18 | 2202 | 9 | Claims in excess of 20 | |
| 1201 | 86 | 2201 | 43 | Independent claims in excess of 3 | |
| 1203 | 290 | 2203 | 145 | Multiple dependent claim, if not paid | |
| 1204 | 86 | 2204 | 43 | **Reissue independent claims over original patent | |
| 1205 | 18 | 2205 | 9 | **Reissue claims in excess of 20 and over original patent | |

SUBTOTAL (2) (\$0)

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

| Large Entity | | Small Entity | | Fee Description | Fee Paid |
|--------------|----------|--------------|----------|--|----------|
| Fee Code | Fee (\$) | Fee Code | Fee (\$) | | |
| 1051 | 130 | 2051 | 65 | Surcharge - late filing fee or oath | |
| 1052 | 50 | 2052 | 25 | Surcharge - late provisional filing fee or cover sheet | |
| 1053 | 130 | 1053 | 130 | Non-English specification | |
| 1812 | 2,520 | 1812 | 2,520 | For filing a request for ex parte reexamination | |
| 1804 | 920* | 1804 | 920* | Requesting publication of SIR prior to Examiner action | |
| 1805 | 1,840* | 1805 | 1,840* | Requesting publication of SIR after Examiner action | |
| 1251 | 110 | 2251 | 55 | Extension for reply within first month | |
| 1252 | 420 | 2252 | 210 | Extension for reply within second month | |
| 1253 | 950 | 2253 | 475 | Extension for reply within third month | |
| 1254 | 1,480 | 2254 | 740 | Extension for reply within fourth month | |
| 1255 | 2,010 | 2255 | 1,005 | Extension for reply within fifth month | |
| 1401 | 330 | 2401 | 165 | Notice of Appeal | |
| 1402 | 330 | 2402 | 165 | Filing a brief in support of an appeal | |
| 1403 | 290 | 2403 | 145 | Request for oral hearing | |
| 1451 | 1,510 | 1451 | 1,510 | Petition to institute a public use proceeding | |
| 1452 | 110 | 2452 | 55 | Petition to revive - unavoidable | |
| 1453 | 1,330 | 2453 | 665 | Petition to revive - unintentional | |
| 1501 | 1,330 | 2501 | 665 | Utility issue fee (or reissue) | |
| 1502 | 480 | 2502 | 240 | Design issue fee | |
| 1503 | 640 | 2503 | 320 | Plant issue fee | |
| 1460 | 130 | 1460 | 130 | Petitions to the Commissioner | 130 |
| 1807 | 50 | 1807 | 50 | Processing fee under 37 CFR 1.17(g) | |
| 1806 | 180 | 1806 | 180 | Submission of Information Disclosure Stmt | |
| 8021 | 40 | 8021 | 40 | Recording each patent assignment per property (times number of properties) | |
| 1809 | 770 | 2809 | 385 | Filing a submission after final rejection (37 CFR 1.129(a)) | |
| 1810 | 770 | 2810 | 385 | For each additional invention to be examined (37 CFR 1.129(b)) | |
| 1801 | 770 | 2801 | 385 | Request for Continued Examination (RCE) | |
| 1802 | 900 | 1802 | 900 | Request for expedited examination of a design application | |

Other fee (specify)

SUBTOTAL (3) (\$130)

SUBMITTED BY

| | | | | |
|-------------------|--------------------------|-----------------------------------|-------------------|--------------------------|
| Name (Print/Type) | Dorian Cartwright | Registration No. (Attorney/Agent) | 53,853 | Complete (if applicable) |
| Signature | <i>Dorian Cartwright</i> | Date | February 25, 2004 | Telephone (650) 335-7247 |

61628/05665/DOCS/1414877.1

COPY**FEE TRANSMITTAL
for FY 2004**

Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27**TOTAL AMOUNT OF PAYMENT (\$)** 130.00**Complete if Known**

| | |
|----------------------|--------------------|
| Application Number | 10/035,790 |
| Filing Date | December 24, 2001 |
| First Named Inventor | Edward N. Ignatoff |
| Examiner Name | Frantz B. Jean |
| Art Unit | 2155 |
| Attorney Docket No. | 61628-05665 |

METHOD OF PAYMENT (check all that apply)
☐ Check ☐ Credit Card ☐ Money Order ☐ Other ☐ None
☐ Deposit Account:
Deposit Account Number **19-2555**Deposit Account Name **Fenwick & West LLP**

The Commissioner is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☒ Credit any overpayments
☒ Charge all required fee(s) or any underpayment of fee(s) due under 37 CFR §1.16 or §1.17 during the pendency of this application
☐ Charge fee(s) indicated below, except for the filing fee

to the above-identified deposit account.

FEE CALCULATION**1. BASIC FILING FEE**

| Large Entity | | Small Entity | | Fee Description | Fee Paid |
|---------------------|----------|--------------|----------|------------------------|----------|
| Fee Code | Fee (\$) | Fee Code | Fee (\$) | | |
| 1001 | 770 | 2001 | 385 | Utility filing fee | |
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| 1003 | 530 | 2003 | 265 | Plant filing fee | |
| 1004 | 770 | 2004 | 385 | Reissue filing fee | |
| 1005 | 160 | 2005 | 80 | Provisional filing fee | |
| SUBTOTAL (1) | | | | (\$) | 0 |

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

| Total Claims | Extra Claims | Fee from below | Fee Paid |
|--------------------|--------------|----------------|----------|
| Independent Claims | -20** = | X | = |
| Multiple Dependent | -3** = | X | = |

| Large Entity | | Small Entity | | Fee Description |
|---------------------|----------|--------------|----------|---|
| Fee Code | Fee (\$) | Fee Code | Fee (\$) | |
| 1202 | 18 | 2202 | 9 | Claims in excess of 20 |
| 1201 | 86 | 2201 | 43 | Independent claims in excess of 3 |
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| 1204 | 86 | 2204 | 43 | **Reissue independent claims over original patent |
| 1205 | 18 | 2205 | 9 | **Reissue claims in excess of 20 and over original patent |
| SUBTOTAL (2) | | | | (\$) 0 |

*or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)**3. ADDITIONAL FEES**

| Large Entity | | Small Entity | | Fee Description | Fee Paid |
|--------------|----------|--------------|----------|--|----------|
| Fee Code | Fee (\$) | Fee Code | Fee (\$) | | |
| 1051 | 130 | 2051 | 65 | Surcharge - late filing fee or oath | |
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| 1801 | 770 | 2801 | 385 | Request for Continued Examination (RCE) | |
| 1802 | 900 | 1802 | 900 | Request for expedited examination of a design application | |

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 130**SUBMITTED BY**

| | | | | |
|-------------------|--------------------------|-----------------------------------|-------------------|--------------------------|
| Name (Print/Type) | Dorian Cartwright | Registration No. (Attorney/Agent) | 53,853 | Complete (if applicable) |
| Signature | <i>Dorian Cartwright</i> | Date | February 25, 2004 | Telephone (650) 335-7247 |

61628/05665/DOCS/1414877.1

Date Mailed: February 25, 2004 | Atty/Sec: D | Filing Date: December 24, 2001
Application No.: 10/035,790 | Docket No.: 61628-05665
Applicants: Edward N. Ignatoff et al.

Title: SCSI Transport Protocol Via TCP/IP Using Existing Network Hardware And Software

Please imprint Patent Office "date stamp" hereon to indicate receipt and return card to addressee.

- | | |
|--|--|
| <input type="checkbox"/> ___ pages of Specification, Claims & Abstract | <input checked="" type="checkbox"/> Second Request for Correct Inventorship in a Nonprovisional Patent Application §1.48 (a) |
| <input type="checkbox"/> ___ sheets of formal drawings | <input type="checkbox"/> Response to Notice Of Missing Parts |
| <input type="checkbox"/> Provisional Application Cover Sheet | <input type="checkbox"/> Request to Correct Filing Receipt |
| <input type="checkbox"/> New Utility Application Transmittal | <input checked="" type="checkbox"/> Copy of Statement Of Nicholas C. Connolly In Support Of Request To Correct Inventorship |
| <input checked="" type="checkbox"/> Transmittal | <input type="checkbox"/> IDS, PTO/SB/08A, and cited references |
| <input checked="" type="checkbox"/> Fee Transmittal (in duplicate) | <input checked="" type="checkbox"/> Statement of Assignee Consenting to Change of Inventorship §1.48(a) |
| <input checked="" type="checkbox"/> Declaration/Power of Attorney | <input type="checkbox"/> Letter to Chief Draftsperson |
| <input type="checkbox"/> Assignment & Recordation Cover Sheet | <input type="checkbox"/> Formal Drawings: ___ sheets |
| <input type="checkbox"/> Power of Attorney | <input type="checkbox"/> Request for Certificate of Correction |
| <input type="checkbox"/> CPA Request Transmittal | <input type="checkbox"/> Notice of Appeal |
| <input type="checkbox"/> Application Data Sheet | |
| <input checked="" type="checkbox"/> Checks in the amount of \$130.00 | |

Other _____

61628/05665/DOCS/1414880.1